

DOCKET NO.: P-21779.02



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

In re Application of:

Nguyen et al  
MINIMALLY INVASIVE VALVE REPAIR PROCEDURE AND APPARATUS  
Serial No.: 10/718,236  
Filed: 11-19-2003

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this INFORMATION DISCLOSURE STATEMENT and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of February, 2005.

  
Signature  
Jo L. Brecht  
Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

We are transmitting herewith the attached:

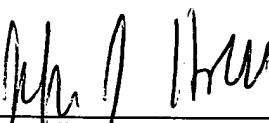
- Information Disclosure Statement Transmittal  
 Information Disclosure Statement  
 PTO FORM 1449  
 Copies cited references (Foreign Patents and Other Documents only)  
 Return Postcard

**FEE CALCULATION**

- \$ 00.00 Pursuant to 37 CFR §1.97(b)  
 \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification  
 \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification  
 \$240.00 Pursuant to 37 CFR §1.97(c) without Certification  
 \$130.00 Pursuant to 37 CFR §1.97(d) with Certification

- Applicant hereby petitions for a      months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked on this Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.

February 10, 2005  
Date

  
Jeffrey J. Hohenhell  
Reg. No. 34,109  
Telephone: (763) 391-9661  
Customer No.: 27581



PATENT  
Docket: P-21779.02

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nguyen et al ) Art Unit:  
                                  )  
Serial No.: 10/718,236       ) Examiner:  
                                  )  
Filed: 11/19/2003           )  
  
For: MINIMALLY INVASIVE VALVE REPAIR PROCEDURE AND APPARATUS

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on this 10th day of February, 2005.

J. L. Brecht  
Signature      J. L. Brecht  
Printed Name

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. A copy which was not readily available of the document "Mitral Valve Repair: Ischemic (Mastery of Cardiothoracic Surgery, Lippencott-Raven Publishers (1998) 309-321) is believed to be found in the file history of the parent case.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of

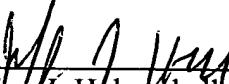
the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

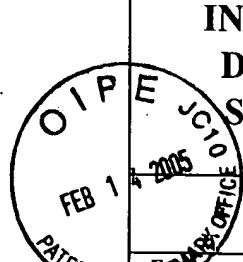
No fee is believed due for the submission of this information disclosure statement. If this is in error, please charge any fees for the entry of this information disclosure statement to Deposit Account No. 132546. Please charge any additional required fees or credit any overpayment to Deposit Account No. 132546.

Registration Number 34,109	Telephone Number 763-391-9661
Date <u>February 10</u> , 2005	

Respectfully submitted,

By

  
Jeffrey J. Hohenshell  
Senior Patent Counsel



<b>INFORMATION DISCLOSURE STATEMENT</b>		<b>Atty. Docket No.: P-21779.02</b>		<b>Serial No.: 10/718,236</b>			
		<b>Applicant(s): Nguyen et al</b>					
		<b>Filing Date: 11-19-2003</b>			<b>Group:</b>		
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>SubClass</b>	<b>Filing Date If Appropriate</b>	
	3,802,438	04-1974	Wolvek				
	4,006,747	02-1977	Kronenthal et al.				
	4,396,139	08-1983	Hall et al.				
	5,304,204	04-1994	Bergen				
	5,366,479	11-1994	McGarry et al.				
	5,450,860	09-1995	O'Connor				
	5,500,000	03-1996	Feagin et al.				
	5,695,505	12-1997	Yoon				
	5,715,987	02-1998	Kelley et al.				
	5,725,542	03-1998	Yoon				
	5,908,428	06-1999	Scirica et al.				
	5,976,159	11-1999	Bolduc et al.				
	5,984,959	11-1999	Robertson et al.				
	5,989,268	11-1999	Pugsley, Jr. et al.				
	6,704,401	06-2000	Gardiner et al.				
	6,113,611	09-2000	Allen et al.				
	6,165,183	12-2000	Kuehn et al.				
	6,514,265	02-2003	Ho et al.				
	6,193,733	02-2001	Adams				
	6,269,819	08-2001	Oz et al.				
	5,961,539	10-1999	Northrup III et al.				
	6,551,332	04-2003	Nguyen et al.				
	6,607,541	08-2003	Gardiner et al.				
	6,613,059	09-2003	Schaller et al.				
	6,641,593	11-2003	Schaller et al.				
	5,002,563	03-1991	Pyka et al.				
	5,127,413	07-1992	Ebert				
	5,217,027	06-1993	Hermens				
	5,454,834	10-1995	Boebel et al.				
	5,628,757	05-1997	Hasson				
	5,871,528	02-1999	Camps et al.				
<b>EXAMINER</b>			<b>Date Considered</b>				

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE STATEMENT</b>	<b>Atty. Docket No.:</b> P-21779.02	<b>Serial No.:</b> 10/718,236
	<b>Applicant(s):</b> Nguyen et al	
	<b>Filing Date:</b> 11-19-2003	<b>Group:</b>

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	SubClass	Translation	
							Yes	No
		WO 99/62406	12-1999	Schaller et al.				
		WO 99/62409	12-1999	Schaller et al.				
		WO 00/03759	01-2000	Kuehn				
		WO 00/64381	11-2000	Anderson				
		WO 01/26557	04-2001	Grimes				
		WO 01/26586	04-2001	Seguin				
		WO 01/28432	04-2001	Condino et al.				

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

EXAMINER	Date Considered
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**\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.